



Attorney's Docket No.: 06618-652001 / CIT 3237

2631
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Maleki et al. Art Unit : 2631
Serial No. : 09/883,085 Examiner : Unknown
Filed : June 15, 2001
Title : DIRECT ELECTRICAL-TO-OPTICAL CONVERSION AND LIGHT MODULATION IN MICRO WHISPERING-GALLERY-MODE RESONATORS

Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

Each enclosed document listed on the herewith Form PTO-1449 was cited in the attached PCT Search Report dated October 30, 2001, issued in a foreign counterpart application not more than three months ago. To the best knowledge of the undersigned, this is the first citation of these items in any communication from a foreign patent office in a counterpart foreign application.

The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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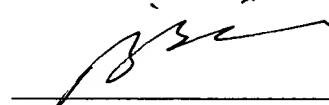
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Consideration of the foregoing and enclosures plus the
return of a copy of the enclosed form PTO-1449 with the
Examiner's initials in the left column per MPEP 609 are
earnestly solicited along with an early action on the merits.

Respectfully submitted,


Scott C. Harris
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